

Serial No. 09/911,969 Confirmation No. 4744 Notice of Allowance Date: February 5, 2004

Docket No. 3479-4000US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yukio Kawamura et al.

Group Art Unit: 1642

Patent No.: US 6,762,296 B2

Examiner: L. Helms

Issued: July 13, 2004

For: ANTITUMOR PROTEIN AND GENE ENCODING SAME

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT

Mail Stop Petition Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached in duplicate is Form PTO-1050, with at least one copy being suitable for printing.

By:

The error was the fault of the Patent and Trademark Office, no fee is required.

The error was not the fault of the Patent and Trademark Office.

The Commissioner is hereby authorized to charge the fee of \$100.00 and any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. <u>13-4500</u>, Order No. <u>3479-4000US1</u>. A DUPLICATE

COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: September 22, 2005

Sybil A. Lombillo

Registration No. 54,280

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile

09/27/2005 MAHMED1 00000087 134500 6762296 01 FC:1811 872786100.00 DA Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NUMBER

6,762,296 B2

DATED

July 13, 2004

INVENTOR(S)

KAWAMURA, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page, at (73), change the Assignee from "Independent Administrative Food Research Institute" to - - 1) Independent Administrative Corporation Food Research Institute (JP) and 2) Momoya Co., Ltd. (JP) - -

MAILING ADDRESS OF SENDER:

Morgan & Finnegan, LLP 3 World Financial Center New York, NY 10281-2101

6,762,296 B2 PATENT NO.

No. of additional copies

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

U.S. Patent and Trademark Office; U.S. ĎEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NUMBER

6,762,296 B2

DATED

July 13, 2004

INVENTOR(S)

KAWAMURA, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page, at (73), change the Assignee from "Independent Administrative Food Research Institute" to - - 1) Independent Administrative Corporation Food Research Institute (JP) and 2) Momoya Co., Ltd. (JP) - -

MAILING ADDRESS OF SENDER:

Morgan & Finnegan, LLP 3 World Financial Center New York, NY 10281-2101

PATENT NO. <u>6,762,296 B2</u> No. of additional copies

 \Rightarrow

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Serial No. 09/911,969 Confirmation No. 4744 Notice of Allowance Date: February 5, 2004 Docket No. 3479-4000US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Yukio Kawamura et al.

Group Art Unit:

1642

Patent No.:

US 6,762,296 B2

Examiner:

L. Helms

Issued:

July 13, 2004

For:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

EXPRESS MAIL CERTIFICATE

Mail Stop PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Express Mail Label No.:

EV 498833713 US

Date of Deposit:

September 22, 2005

I hereby certify that the following attached paper(s) and/or fee

- 1. Transmittal of Petition Under 37 C.F.R. § 2.284 and Request For Certificate of Correction Under 37 C.F.R. §§ 1.322 and 1.323;
- 2. Petition Under 37 C.F.R. § 2.284 and Request For Certificate of Correction Under 37 C.F.R. §§ 1.322 and 1.323;
- 3. Two Notices of Recordation of Assignment Forms for serial no. 09/023,731 filed February 13, 1998, and serial no. 09/911,969 filed July 24, 2001;
- 4. Request For Certificate of Correction of Patent;
- 5. Two copies of Form PTO 1050; and
- 6. Return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile



09/26/05

DA-C TO THE DOCKET NO. 3479-4000US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Kawamura et al.

Serial No.:

09/911,969

Filed:

July 24, 2001

For:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

ISSUE INFORMATION

U.S. Patent No.

6,762,296 B2

Issue Date

July 13, 2004

Confirmation No.

4744

Mail Stop Petition Commissioner of Patents P. O. Box 1450 Alexandria, VA 22313-1450

PETITION UNDER 37 C.F.R. § 1.183 AND REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R §§ 1.322 and 1.323

Sir

Pursuant to the provisions of 37 C.F.R. § 1.183 and 37 C.F.R. § § 1.322 and 1.323 applicants respectfully request that a Certificate of Correction under 37 C.F.R. § § 1.322 and 1.323 be issued for the above-identified patent document.

Applicants inadvertently omitted the name of one assignee and made a typographical error in the name of the other assignee listed on the PTOL 85(b) form filed on April 26, 2004. The assignee omitted is **Momoya Co., Ltd.** Tokyo-To, (JP)-- as is evidenced by

the enclosed copy of the Notice of Recordation of Assignment for parent application No.

09/27/2005 MAHMED1 00000066 134500 09911969

01 FC:1462

400.00 DA

872766 v1

09/023,731, filed on February 13, 1998, now Patent No. 6,291,648. As indicated on the notice of the parent application, the assignment document was recorded on July 17, 1998 at Reel/Frame 9331/0418 and assigned to Director of National Food Research Institute, Ministry of Agriculture, Forestry, and Fisheries, Ibaraki-ken, (JP); and Momoya Co., Ltd. Tokyo-To, (JP). Moreover, as indicated on the Notice of Recordation of the divisional application, the assignee was transferred from "Director of National Food Research Institute, Ministry of Agriculture, Forestry, and Fisheries, Ibaraki-ken, (JP)" to "Independent Administrative Corporation Food Research Institute, Ibaraki-ken, (JP)". The assignment document for the divisional patent was recorded on March 14, 2002 at Reel/Frame 012745/0402. In form PTOL-85, Applicants inadvertently omitted the word, "Corporation" in the name of the new assignee. Applicants respectfully submit that these errors were clerical errors and were therefore inadvertent.

Applicants hereby request the provisions of 37 C.F.R. 3.81(a) be waived to permit the correction of the assignees after the issuance of the patent and further request grant of this Petition and issuance of a Certificate of Correction under 37 C.F.R. §§ 1.322 and 1.323. Enclosed is a Form PTO 1050 indicating our request for correction of the assignees names.

Te Patent Office is authorized to charge for \$130.00 for the required petition fee under 37 C.F.R. § 1.17(h) and any additional fees which may be required by this paper to Deposit Account 13-4500, Order No. <u>3479-4000US1</u>.

It is respectfully requested that this Petition be granted and a Certificate of

Correction be issued to applicants to correct the errors noted on Form PTO 1050.

Favorable and early consideration is respectfully requested.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: September 22, 2005

-

By:

Sybil A/Lombillo Registration No. 54,280

Mailing Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 (Telephone) (212) 415-8701 (Facsimile)



10154

OCTOBER 07, 1998

345 PARK AVENUE NEW YORK, NY 1

MORGAN & FINNEGAN, L.L.P. KENNETH H. SONNENFELD SPECIAL OF COLUMN SPECIAL SPEC

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Sonnen feld.

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

PTAS



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/17/1998

REEL/FRAME: 9331/0418

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KAWAMURA, YUKIO

DOC DATE: 07/03/1998

ASSIGNOR:

MORITA, AKIHIRO

DOC DATE: 07/03/1998

ASSIGNOR:

IZUMO, KOJI

DOC DATE: 07/03/1998

ASSIGNOR:

SAKA, TOMOHIDE

DOC DATE: 07/03/1998

ASSIGNEE:

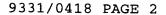
DIRECTOR OF NATIONAL FOOD RESEARCH, INSTITUTE

MINISTRY OF AGRICULTURE FORESTRY, AND FISHERIES

1-2, KANNONDAI 2-CHOME, TSUKUBA-SHI

IBARAKI-KEN, JAPAN

P 2: 43





UNITED ST. S DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

ASSIGNEE:

MOMOYA CO., LTD. 16-2, KAKOGARA-CHO 2 CHOME, NIHONBASHI, CHUO-KU TOKYO-TO, JAPAN

SERIAL NUMBER: 09023731

PATENT NUMBER:

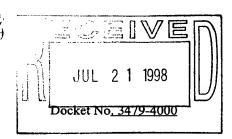
FILING DATE: 02/13/1998

ISSUE DATE:

MAYA BENNETT, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS







IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

A 1		
App.	licant	S)

Yukio Kawamura et al.

Serial No.

09/023,731

Group Art Unit: 1643

Filed

February 13, 1998

Examiner:

For

ANTITUMOR PROTEIN AND GENE ENCODING SAME

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

YUKIO KAWAMURA; AKIHIRO MORITA; KOJI IZUMO AND TOMOHIDE SAKA

Hon. Commissioner of Patents and Trademarks **BOX ASSIGNMENTS** Washington, D.C. 20231

Sir:

409165_1

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:

2. Name and address of receiving party/parties: Name Director of National Food Research Institute Internal Address: Ministry of Agriculture, Forestry, and Fisheries; Street Address: 1-2, Kannondai 2-Chome, Tsukuba-Shi, Ibaraki-Ken, State Japan ZIP 음 Name Momoya Co., Ltd. Internal Address: Street Address: 16-2, Kakigara-Cho 2 Chome, Nihonbashi, Chuo-ku, City Tokyo-To, State Japan Additional names and addresses attached. [] 07/23/1998 SSNITH Name of conveyance: [X] Assignment 3. [] Merger [] Security Agreement

		[] Change of Name [] Other Execution Date: July 3, 1998	
4.	Application Number(s) or Patent Number(s):		
	[] This document is being filed together with a new application which was executed on		
		ent Application No.(s)	
	[] Pat	ent No.(s)	
5.		s all future communications to:	
		MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154	
6.	Total number of applications and patents involved: One (1)		
7.	Total fee (37 CFR §3.41): \$40.00 property x1 property(ies) = \$40.00		
	[X]	A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.	
	[]	Charge fee to Deposit Account No. 13-4500. Order No	
	[X]	The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 3479-4000.	
8.	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.		
		Respectfully submitted,	
		MORGAN & FINNEGAN, L.L.P.	
Dated: July 17, 1998 By: James Jame			
Registration No. 33,285			
CORE	RESPON	DENCE ADDRESS: Total number of pages including the recordation cover sheet 6	
345 P New 3 (212)	ark Aven York, Ne 758-480	w York 10154 deposited with the United States Postal Service as first class mail in an envelope addressed to: Gommissioner of Patents and Trademarks,	
	M: REC- 05/26/98	PAT.NY Washingto, D.C. 20231, on July 17,1978 Keepel H Sonnenfeld -2- Washingto, D.C. 20231, on July 17,1978	
409165	_1	- peurson of formally and	

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Yukio Kawamura; 4-25-404-205, Matsushiro, Tsukuba-Shi, Ibaraki-Ken, Japan; Akihiro Morita; 110, Dai 2

Kasukabe Mansion, 2-10-8, Yahara, Kasukabe-Shi, Saitama-Ken, Japan; Koji Izumo; 303, Kasukabe Central

Copo, 7-1-15, Chuo, Kasukabe-Shi, Saitama-Ken, Japan; Tomohide Saka; 601, Noble Nomura, 2-23-4,

Matsushiro, Tsukuba-Shi, Ibaraki-Ken, Japan

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinaster referred to as ASSIGNORS), has made a discovery or invention entitled:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

(title of discovery or invention)

- [] for which application for Letters Patent of the United States has been executed on even date herewith,
- [X] for which application for Letters Patent of the United States has been filed on <u>February 13, 1998</u>, under Serial No. <u>09/023,731</u>, and

WHEREAS:

Director of National Food Research Institute, Ministry of Agriculture, Forestry, and Fisheries; 1-2, Kannondai 2-chome, Tsukuba-Shi, Ibaraki-Ken, Japan; and Momoya Co., Ltd; 16-2, Kakigara-Cho 2-Chome, Ni honbashi, Chuo-ku, Tokyo-To, Japan

(name and address of assignees)

(hereinafter referred to as ASSIGNEES), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEES to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sells, assigns and transfers to ASSIGNEES, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNORS, hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEES, as assignees of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEES, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

Docket No.	3479-4000	
------------	-----------	--

I, SAID, ASSIGNORS, hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNORS hereby further covenant and agree that the ASSIGNEES, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEES, its successors, legal representatives, or assigns, communicate to the ASSIGNEES, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEES, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEES, its successors, legal representatives, or assigns, I will, at any time, when called upon to do so by the ASSIGNEES its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEES, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEES, its successors, legal representatives and assigns.

Assignor's signature:	Aluko		Lawamura
	First name	Middle initial	Last name
Citizenship: Japan		· · · · · · · · · · · · · · · · · · ·	
IN WITNESS WHEREON 1998.	F, I have hereunto set	t my hand and affixed my seal	this 3rd day of July,
STATE OF)		
COUNTY OF	ss.:)		
On this day of, 19, before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.			
Notary Public			

369372 1

		Docket N	io. <u>3479-4000</u>
Assignor's signature: _	Akihiro First name	Middle initial	Morita Last name
Citizenship: Japan			
IN WITNESS WHERE 19 <u>98</u> .	OF, I have hereunto set my	hand and affixed my seal t	his '3rd day of July
STATE OF)		
COUNTY OF	ss.:)		
•		•	
me known and known t	o me to be the individual wi uly acknowledged to me tha	ho is described in and who	I authority, personally appeared to executed the foregoing his own voluntary act and deed for
Assignor's signature: _	Koji		Juno
	First name	Middle initial	Last name
Citizenship: Japan		···	
IN WITNESS WHERE 19 <u>98</u> .	OF, I have hereunto set my	hand and affixed my seal t	his <u>3rd</u> day of July ,
STATE OF)		
COUNTY OF	ss.:)		
known and known to m	e to be the individual who is dged to me that he executed	s described in and who exe	ity, personally appeared to me cuted the foregoing Assignment, stary act and deed for the uses and

- 3 -

369372_1

Notary Public

		Docket No.	3479-4000
Assignor's signature:	Tomohide		SAKA
	First name	Middle initial	Last name
Citizenship: Japan			
IN WITNESS WHEREOF,	, I have hereunto set my ha	nd and affixed my seal this	3rd day of July
19 <u>98</u> .		· .	
STATE OF)		
	ss.:	•	
COUNTY OF)		
On this day of	. 19 . he	fore me, the undersigned au	thority, personally appeared to
me known and known to m	e to be the individual who	is described in and who exe	cuted the foregoing
Assignment, and who duly	acknowledged to me that h		own voluntary act and deed for
the uses and purposed there	in specified.		
N			
Notary Public			
A ! ! /		•	
Assignor's signature:	First name	Middle initial	Last name
	1 113t hank	Widdle IIItlar	Last hame
Citizenship: Japan			
IN WITNESS WHEREOF,	I have hereunto set my ha	nd and affixed my seal this	day of
19			
·			
STATE OF)	•	
	ss.:		,
COUNTY OF)		•
4 4			
		, the undersigned authority,	
			ed the foregoing Assignment, act and deed for the uses and
purposes therein specified.	me mai de enecued tu		min and in the most entr

Notary Public

FORM: ASSIGN.PAT Rev. 10/04/94

369372_1

Docket No. 3479-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Yukio Kawamura et al.

Serial No

09/023,731

Group Art Unit: 1643

Filed

February 13, 1998

Examiner:

For

ANTITUMOR PROTEIN AND GENE ENCODING SAME

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS BOX ASSIGNMENTS Washington, D.C. 20231

Sir:

I hereby certify that the attached Recordation Form Cover Sheet; Assignment of Application

For Patent; Check for \$40.00.

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Hon. Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 17, 1998

Kenneth H. Sonnenfeld Registration No. 33,285

CORRESPONDENCE ADDRESS: MORGAN & FINNEGAN LLP 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Facsimile

FORM: CERT..NY Rev. 05/27/98 MORGAN & FINNEGAN, L.L.P. KENNETH H. SONNENFELD



COCKET DEPT.

03479-4000 USI Lombillo

MAY 31, 2002

345 PARK AVENUE NEW YORK, NY 10154 PTAS

2002 JUN -6 P 3: 29

Commissioner for Trademarks Arlington, VA 22202-3513 www.uspto.gov

DREAN & FINNEG



102047364A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/14/2002

REEL/FRAME: 012745/0402

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DIRECTOR OF NATIONAL FOOD RESEARCH

DOC DATE: 11/01/2001

INSTITUTE, MINISTRY OF AGRICULTURE, FORESTRY AND FISHERIES

ASSIGNEE:

PATENT NUMBER:

INDEPENDENT ADMINISTRATIVE CORPORATION FOOD RESEARCH INSTITUTE TSUKUBA SHI

IBARAKI-KEN, JAPAN

SERIAL NUMBER: 09911969

SERIAL NUMBER: 09023731 PATENT NUMBER: 6291648

FILING DATE: 07/24/2001

ISSUE DATE:

FILING DATE: 02/13/1998 ISSUE DATE: 09/18/2001 01£745/0402 PAGE 2

JEEVON JONES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS OFFICE OF PUBLIC RECORDS

EET

FINANCE SECTION SET TO The Honorable Commissioner of Patents and Trademarks:

Please record the attached original documents or copy thereof.			
1.	Name of conveying party(ies): Director of National Food Research Institute, Ministry of Agriculture, Forestry and Fisheries	2. Name and address of receiving party(ies): Name: Independent Administrative Corporation Food Research Institute Address: Tsukuba shi Ibaraki-ken Japan	
	Additional name(s) of conveying party(ies) attached? Yes No	Additional name(s) & addresses attached? Yes No	
3.	Nature of conveyance: Assignment Other Execution Date(s): November 1, 2001	4. Patent Application number(s) A. 09/911,969 B. U.S. Patent No. 6,291,648	
5.	Name and address of party to whom correspondence concerning document should be mailed: Name: Morgan & Finnegan, L.L.P. Address: 345 Park Avenue New York, NY 10154	 6. Total number of applications involved: 2 7. Total fee (37 CFR 3.41): \$80.00 A check in the amount of \$80.00 is enclosed. Charge to Deposit Account No.: 13-4500, Order No Charge any deficiencies to Deposit Account 13-4500, Order No. 3479-4000. (A duplicate copy of this sheet is enclosed) 	
DO NOT USE THIS SPACE			
	8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of original document. Kenneth H. Sonnenfeld Name of Person Signing Reg. No. 33,285 March 14, 2002 Date		
	Total no. of pages including cover sheet,	attachments and document: 4	
Mail documents to be recorded with required cover sheet information to: Commissioner for Fasquis Workington, DC 20221			

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, DC 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, DC 20503.

688069 v1

04/05/2002 TDIAZ1 00000117 09911969

DECLARATION

I, Yoshimi KOYANAGI declare and say:

that I am thoroughly conversant with both the Japanese and English languages;

that I am presently engaged as a translator in these languages; and,

that the attached document represents a true English translation of the certificate.

I further declare that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: January 22, 2002

shimi Kayanagi

平成13年//月 /日

独立行政法人食品総合研究所 理事長 鈴木建夫

独立行政法人通則法等の施行に伴う関係政令の整備及び経過措置に関する政令(平成12年政令第326号)第35条第1項第3号の規定に基づき、国から独立行政法人食品総合研究所へ承継する権利及び義務として、平成13年3月15日に農林水産大臣が指定した特許権、特許を受ける権利、実用新案権、実用新案権を受ける権利、商標権、商標登録出願により生じた権利は、すべて農林水産省食品総合研究所長に係るものであることに相違ありません。

CERTIFICATE

November 1, 2001

Independent Administrative Corporation
FOOD RESEARCH INSTITUTE
Takeo SUZUKI, Director
(Official Seal)

It is certified that patent rights, the right to obtain patents, utility model rights, the right to obtain utility model registrations, trademark rights, the rights derived from trademark applications designated on March 15, 2001 by the Minister of Agriculture, Forestry and Fisheries as the rights and obligations to be taken over from the Government to the Independent Administrative Corporation Food Research Institute on the basis of the provision of Article 35 Section 1(3) of Cabinet Order as to Adjustments and Transitional Measures of Related Cabinet Orders According To Enforcement of General Laws on Independent Administrative Corporation (Cabinet Order No. 2000-326) have been all owned by the Director of National Food Research Institute, Ministry of Agriculture, Forestry and Fisheries.

FINANCE SECTION

RECORDATION FORM COVER SHEET **PATENTS ONLY**

To The Honorable Commissioner of Patents and Trademarks:

PIE	ease record the attached original documents of copy	thereor.	
1.	Name of conveying party(ies): Director of National Food Research Institute, Ministry of Agriculture, Forestry and Fisheries	2. Name and address of receiving party(ies): Name: Independent Administrative Corporation Food Research Institute Address: Tsukuba shi Ibaraki-ken Japan	
	Additional name(s) of conveying party(ies) attached? Yes No	Additional name(s) & addresses attached? Yes No	
3.	Nature of conveyance:	4. Patent Application number(s)	
		A. 09/911,969	
	Other	B. U.S. Patent No. 6,291,648	
	Execution Date(s): November 1, 2001		
5.	Name and address of party to whom	6. Total number of applications involved: 2	
	correspondence concerning document should be mailed:	7. Total fee (37 CFR 3.41): \$80.00	
`	Name: Morgan & Finnegan, L.L.P. Address: 345 Park Avenue New York, NY 10154	A check in the amount of \$80.00 is enclosed.	
		Charge to Deposit Account No.: 13-4500, Order No	
		Charge any deficiencies to Deposit Account 13-4500, Order No. 3479-4000. (A duplicate copy of this sheet is enclosed)	
	DO NOT USE	THIS SPACE	
8.	Statement and signature.		
	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of original document. Kenneth H. Sonnenfeld March 14, 2002 Name of Person Signing Signature Date Reg. No. 33,285		
	1108. 110. 20,200		
	Total no. of pages including cover sheet	, attachments and document: 4	
	DO NOT DETACH	I THIS PORTION	

Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents Washington, DC 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, DC 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, DC 20503.

688069 v1